

FORM PTO-1449	Atty. Docket No.: C382.12-0178	Appl. No.: 10/791,141
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor: Kevin I. Bertness	
	Filing Date October 2, 2004	Group Art: 2858

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
/A.P./	AA	6,420,852	7/2002	Sato	320	134
	AB	3,816,805	6/1974	Terry	320	123
	AC	3,886,426	5/1975	Daggett	320	117
	AD	5,332,927	7/1994	Paul et al.	307	66
	AE	2003/0040873	2/2003	Lesesky et al.	702	57
	AF	7,120,488	10/2006	Nova et al.	600	2
	AG	5,430,645	7/1995	Keller	364	424.01
	AH	5,387,871	2/1995	Tsai	324	429
	AI	6,972,662	12/2005	Ohkawa et al.	340	10.1
	AJ	5,973,598	10/1999	Beigel	340	572.1
	AK	7,209,860	4/2007	Trsar et al.	702	183
	AL	6,845,279	1/2005	Gilmore et al.	700	115

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
/A.P./	AM	WO 99/56121	11/1999	WIPO		X
/A.P./	AN	11-150809	6/1999	Japan		X (EP0902521A2)
/A.P./	AO	196 38 324	9/1996	Germany		X (Abstract)

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.P./	AP	Supplementary European Search Report Communication for Appl. No. 99917402.2, dated September 7, 2010.
/A.P./	AQ	Notification of Transmittal of the International Search Report for PCT/US03/30707, filed 9-30-03 and mailed 11-24-04.
/A.P./	AR	"DSP Applications in Hybrid Electric Vehicle Powertrain," Miller et al., Proceedings of the American Control Conference, San Diego, CA, June 1999; 2 pages.

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/A.P./	AS	2005/0025299	02-2005	Tischer et al.	379	199
	AT	7,200,424	4/2007	Tischer et al.	455	567
	AU	7,339,477	3/2008	Puzio et al.	340	572.1
	AV	7,212,887	5/2007	Shah et al.	700	276
	AW	7,182,147	2/2007	Cutler et al.	173	1
	AX	4,441,359	4/1984	Ezoe	73	116.06
	AY	3,776,177	12/1973	Bryant et al.	116	311
	AZ	2005/0057256	3/2005	Bertness	324	426
	BA	7,446,536	11/2008	Bertness	324	426
	BB	2006/0282323	12/2006	Walker et al.	705	014
	BC	2007/0026916	2/2007	Juds et al.	463	001

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	Document No.	Date	Country	Class	Sub Class	Translation Yes No
/A.P./	BD	WO 97/01103	1/1997	WIPO		X
	BE					
	BF					

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.P./	BG	"Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration" for PCT/US2008/008702, filed July 2008; 15 pages.
/A.P./	BH	"A Microprocessor-Based Control System for a Near-Term Electric Vehicle," Bimal K. Bose; IEEE Transactions on Industry Applications, Vol. IA-17, No. 6, November/December 1981; 0093-9994/81/1100-0626\$00.75 © 1981 IEEE, 6 pg.
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/A.P./	BI	4,885,523	12/1989	Koench, Steven E.	230	131
	BJ	4,965,738	10/1990	Bauer et al	320	136
	BK	5,030,916	7/1991	Bokitch, Dennis J.	324	503
	BL	5,732,074	3/1998	Spaur et al.	370	313
	BM	6,088,652	12/1999	Theofanopoulos et al.	324	434
	BN	6,534,992	3/2003	Meissner et al.	324	426
	BO	7,184,905	2/2007	Stefan, Donald A.	702	63
	BP	2004/0199343	10/2004	Cardinal et al.	702	63
	BQ	7,235,977	6/2007	Koran et al.	324	426
	BR	5,950,144	9/1999	Hall et al	702	108
	BS	6,771,073	8/2004	Henningson et al.	324	426
	BT					

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	Document No.	Date	Country	Class	Sub Class	Translation Yes No
	BU					
	BV					
	BW					

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.P./	BX	Notification of Transmittal of the International Search Report and the Written Opinion of the International <u>Searching</u> Authority, or the Declaration for PCT/US2011/038279 filed 27 May 2011, date of mailing 9-16-11, 12 pages.
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/A.P./	BY	2010/0145780	6/2010	Nishikawa et al.	705	14.11
	BZ	6,075,339	6/2000	Reipur et al.	320	110
	CA	2007/0194791	8/2007	Huang	324	430
	CB	7,209,850	4/2007	Brott et al.	324	426
	CC	2007/0024460	2/2007	Clark	340	663
	CD	2003/0128036	7/2003	Henningson et al.	324	426
	CE	6,285,191	9/2001	Gollomp et al.	324	427
	CF	6,097,193	8/2000	Bramwell	324	429
	CG	5,721,688	2/1998	Bramwell	324	426
	CH	85,553	1/1869	Adams	33	472
	CI	2004/0251876	12/2004	Bertness et al.	320	136
	CJ	2003/0197512	10/2003	Miller et al .	324	426

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	Document No.	Date	Country	Class	Sub Class	Translation Yes No
	CK					
	CL					
	CM					

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.P./	CN	Copy of Provisional Application Serial No. 60/387,912 filed June 13, 2002 which is related to U.S. Patent No. 7,089,127.
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/A.P./	CO	5,712,795	1/1998	Layman et al.	700	297
	CP	2008/0303529	12/2008	Nakamura et al.	324	433
	CQ	7,098,666	8/2006	Patino	324	433
	CR	6,218,805	4/2001	Melcher	320	105
	CS	6,075,339	6/2000	Reipur et al.	320	110
	CT	3,997,830	12/1976	Newell et al.	320	102
	CU	5,818,201	10/1998	Stockstad et al.	320	119
	CV	7,089,127	8/2006	Thibedeau et al.	702	63
	CW	2009/0247020	10/2009	Gathman et al.	439	759
	CX	7,029,338 B1	4/2006	Orange et al.	439	755
	CY	7,557,586	7/2009	Vonderhaar et al.	324	437
	CZ	7,723,993	5/2010	Klang	324	431

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	Document No.	Date	Country	Class	Sub Class	Translation Yes No
DA						
DB						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.P./	DC	"Conductance Testing Compared to Traditional Methods of Evaluating the Capacity of Valve-Regulated Lead-Acid Batteries and Predicting State-of-Health", by D. Feder et al., May 1992, pgs. 1-8; (13 total pgs.).
/A.P./	DD	"Field and Laboratory Studies to Assess the State of Health of Valve-Regulated Lead Acid Batteries: Part I- Conductance/Capacity Correlation Studies", by D. Feder et al., October 1992, pgs. 1-15; (19 total pgs.).

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/A.P./ DE	7,501,795	3/2009	Bertness et al.	320	134	

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DF						

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/A.P./	DG	"Field Application of Conductance Measurements Use to Ascertain Cell/Battery and Inter-Cell Connection State-of-Health in Electric Power Utility Applications", by M. Hlavac et al., April 1993, pgs. 1-14; (19 total pgs.).
	DH	"Conductance Testing of Standby Batteries in Signaling and Communications Applications for the Purpose of Evaluating Battery State-of-Health", by S. McShane, April 1993, pgs. 1-9; (14 total pgs.).
	DI	"Conductance Monitoring of Recombination Lead Acid Batteries", by B. Jones, May 1993, pgs. 1-6; (11 total pgs.).
	DJ	"Evaluating the State-of-Health of Lead Acid Flooded and Valve-Regulated Batteries: A Comparison of Conductance Testing vs. Traditional Methods", by M. Hlavac et al., June 1993, pgs. 1-15; (20 total pgs.).
	DK	"Updated State of Conductance/Capacity Correlation Studies to Determine the State-of-Health of Automotive SLI and Standby Lead Acid Batteries", by D. Feder et al., September 1993, pgs.1-17; (22 total pgs.).
	DL	"Field and Laboratory Studies to Access the State-of-Health of Valve-Regulated Lead-Acid Battery Technologies Using Conductance Testing Part II-Further Conductance/Capacity Correlation Studies", by M. Hlavac et al., September 1993, pgs. 1-9; (14 total pgs.).
	DM	"Field Experience of Testing VRLA Batteries by Measuring Conductance", by M.W. Kniveton, May 1994, pgs. 1-4; (9 total pgs.).
	DN	"Reducing the Cost of Maintaining VRLA Batteries in Telecom Applications", by M.W. Kniveton, September 1994, pgs. 1-5; (10 total pgs.).
	DO	"Analysis and Interpretation of Conductance Measurements used to Access the State-of-Health of Valve Regulated Lead Acid Batteries Part III: Analytical Techniques", by M. Hlavac, November 1994, 9 pgs; (13 total pgs.).
	DP	

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/A.P./ DQ	7,545,146	6/2009	Klang et al.	324	426	

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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
/A.P./	DR	WO 96/28846	Sep 1996	WIPO			X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

/A.P./	DS	"Testing 24 Volt Aircraft Batteries Using Midtronics Conductance Technology", by M. Hlavac et al., January 1995, 9 pgs; (13 total pgs.).
	DT	"VRLA Battery Monitoring Using Conductance Technology Part IV: On-Line State-of-Health Monitoring and Thermal Runaway Detection/Prevention", by M. Hlavac et al., October 1995, 9 pgs; (13 total pgs.).
	DU	"VRLA Battery Conductance Monitoring Part V: Strategies for VRLA Battery Testing and Monitoring in Telecom Operating Environments", by M. Hlavac et al., October 1996, 9 pgs; (13 total pgs.).
	DV	"Midpoint Conductance Technology Used In Telecommunication Stationary Standby Battery Applications Part VI: Considerations for Deployment of Midpoint Conductance in Telecommunications Power Applications", by M. Troy et al., October 1997, 9 pgs; (13 total pgs.).
	DW	"Impedance/Conductance Measurements as an Aid to Determining Replacement Strategies", M. Kniveton, September 1998, pgs. 297-301; (9 total pgs.).
	DX	"A Fundamentally New Approach to Battery Performance Analysis Using DFRA™/DTIST™ Technology", by K. Champlin et al., September 2000, 8 pgs; (12 total pgs.).
	DY	"Battery State of Health Monitoring, Combining Conductance Technology With Other Measurement Parameters for Real-Time Battery Performance Analysis", by D. Cox et al., March 2000, 6 pgs; (10 total pgs.).
	DZ	Search Report and Written Opinion from PCT Application No. PCT/US2011/026608, dated August 29, 2011, 9 pgs.
	EA	
	EB	

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/A.P./	EC	7,319,304	1/2008	Veloo et al.	320	134
	ED	7,208,914	4/2007	Klang	320	132
	EE	7,408,358	8/2008	Knopf	324	426
	EF	7,598,699	10/2009	Restaino et al.	320	105
	EG	6,744,149	6/2004	Karuppana et al.	307	31
	EH	7,246,015	7/2007	Bertness et al.	702	63
	EI	7,154,276	12/2006	Bertness	324	503
	EJ	7,688,074	3/2010	Cox et al.	324	426
	EK	7,710,119	5/2010	Bertness	324	426
	EL	7,772,850	8/2010	Bertness	324	426
	EM	7,774,151	8/2010	Bertness	702	63
	EN	7,642,786	1/2010	Philbrook	324	426
	EO	7,479,763	1/2009	Bertness	320	134
	EP	7,656,162	2/2010	Vonderhaar et al.	324	426
	EQ	7,505,856	3/2009	Restaino et al.	702	63

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EQ						

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ER	
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/A.P./	ES	7,498,767	3/2009	Brown et al.	320	107
	ET	7,598,743	10/2009	Bertness	324	426
	EU	7,034,541	4/2006	Bertness et al.	324	426
	EV	7,777,612	8/2010	Sampson et al.	340	426.1
	EW	7,705,602	4/2010	Bertness	324	426
	EX	7,595,643	9/2009	Klang	324	426
	EY	7,398,176	7/2008	Bertness	702	140
	EZ	7,295,936	11/2007	Bertness et al.	702	63
	FA	7,619,417	11/2009	Klang	324	427
	FB	7,363,175	4/2008	Bertness et al.	702	63
	FC	7,791,348	9/2010	Brown et al.	324	426
	FD	7,598,744	10/2009	Bertness et al.	324	426
	FE	7,425,833	9/2008	Bertness et al.	324	426
	FF	7,642,787	1/2010	Bertness et al.	324	426

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/A.P./	FI	7,706,991	4/2010	Bertness et al.	702	63
	FJ	7,808,375	10/2010	Bertness et al.	340	455
	FK	7,728,597	6/2010	Bertness	324	426
	FL	7,924,015	4/2011	Bertness	324	427
	FM	5,691,621	Nov 1997	Phuoc et al.	320	134
	FN	5,990,664	Nov 1999	Rahman	320	136
	FO	6,100,815	Aug 2000	Pailthorp	324	754.07
	FP	6,191,557	Feb 2001	Gray et al.	320	132
	FQ	2008/0303528	Dec 2008	Kim	324	430
	FR	7,657,386	Feb 2010	Thibedeau et al.	702	63
	FS	5,278,759	Jan 1994	Berra et al.	701	1
	FT	5,596,261	Jan 1997	Suyama	320	152
	FU	2005/0218902	Oct 2005	Restaino et al.	324	433
	FV	2005/0007068	Jan 2005	Johnson et al.	320	110

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/A.P./	FY	6,324,042	Nov 2001	Andrews	361	93.2
	FZ	2003/0078743	4/2003	Bertness et al.	702	63
	GA	2010/0314950	12/2010	Rutkowski et al.	307	125
	GB	2011/0004427	1/2011	Gorbold et al.	702	63
	GC	6,136,914	Oct 2000	Hergenrother et al.	524	495
	GD	2001/0035737	11/2001	Nakanishi et al.	320	122
	GE	2005/0168226	8/2005	Quint et al.	324	426
	GF	2006/0012330	1/2006	Okumura et al.	320	103
	GG	5,666,040	9/1997	Bourbeau	320	118
	GH	6,236,186	05/2001	Helton et al.	320	106
	GI	2005/0073314	04/2005	Bertness et al.	324	433
	GJ	6,184,656	02/2001	Karunasiri et al.	320	119
	GK	2002/0041175	04/2002	Lauper et al.	320	106
	GL	2003/0169019	09/2003	Oosaki, Yoshiki	320	132

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/A.P./	GO	2005/0258241	11/2005	McNutt et al.	235	385
	GP	7,698,179	04/2010	Leung et al.	705	28
	GQ	2004/0145342	07/2004	Lyon, Geoff M.	320	108
	GR	2002/0041175	04/2002	Lauper et al.	320	106
	GS	7,177,925	02/2007	Carcido et al.	709	223
	GT	7,287,001	10/2007	Falls et al.	705	22
	GU	7,698,179	04/2010	Leung et al.	705	28
	GV	2004/0113588	06/2004	Mikuriya et al.	320	128
	GW	2002/0074398	06/2002	Lancos et al.	235	382
	GX	7,883,002	02/2011	Jin et al.	235	376
	GY	6,856,972	02/2005	Yun et al.	705	36R
	GZ	5,412,323	5/1995	Kato et al.	324	429
	HA	5,773,962	6/1998	Nor	320	134
	HB	6,236,949	5/2001	Hart	702	64
	HC	2002/0021135	2/2002	Li et al.	324	677

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FORM PTO-1449	Atty. Docket No.: C382.12-0178	Appl. No.: 10/791,141
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor: Kevin I. Bertness	
	Filing Date October 2, 2004	Group Art: 2858

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
/A.P./	HF	6,356,083	3/2002	Ying	324	426
	HG	2004/0164706	8/2004	Osborne	320	116
	HH	7,102,556	9/2006	White	341	141
	HI	7,219,023	5/2007	Banke et al.	702	58
	HJ	7,233,128	6/2007	Brost et al.	320	132
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	HL	7,679,325	3/2010	Seo	320	116
	HM					
	HN					
	HO					
	HP					
	HQ					
	HR					
	HS					

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
HT						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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